

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: JAU-YUEN SU

SERIAL NO.: 09/394,918

FILED: September 13, 1999

FOR: PROCESS FOR SAWING SUBSTRATE STRIP

GROUP ART UNIT: 3724

EXAMINER: O. Flores-Sanchez

ATTY. REFERENCE: EM/WKP/SU/4973

THE COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith is a communication/amendment in the above-identified application.

Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee, if any, has been calculated as shown below:

Fee Basis	Number of Claims After Amendment	Highest Number Previously Paid for	Extra Claims	Small Entity	Full Fee
Total Claims	5	- 20 ¹	= 0 ³	$\times \$ 9 =$	$\times \$ 18 =$ \$0.00
Independent Claims	1	- 3 ²	= 0 ³	$\times \$ 40 =$	$\times \$ 80 =$ \$0.00
<input type="checkbox"/> First Presentation of Proper Multiple Dependent Claim				$+ \$135 =$	$+ \$270 =$
TOTAL					\$0.00

¹ If less than 20 enter 20.² If less than 3 enter 3.³ If less than 0 enter 0.

Please charge my Deposit Account Number 02-0200 in the amount of \$ _____. A duplicate copy of this sheet is attached.

A check in the amount of \$ _____ is attached.

The Commissioner is hereby authorized to charge any additional fees associated with this communication, including fees due under 37 CFR 1.16 and 37 CFR 1.17 or credit any overpayment to Deposit Account Number 02-0200. A duplicate copy of this sheet is attached.

Also enclosed is/are: **Appendix of Marked-Up Specification; and Appendix of Marked-Up Claim**

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23364

PATENT TRADEMARK OFFICE

DATE: August 9, 2001

Respectfully submitted,



WONKI K. PARK
Attorney for Applicant
Registration Number: 38,991



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jau-Yuen SU

Group Art Unit: 3724

Serial No.: 09/394,918

Examiner: O. Flores-Sanchez

Filed: September 13, 1999

Our Dkt.: EM/WKP/SU/4973

For: PROCESS FOR SAWING SUBSTRATE STRIP

PATENT

8/14/01

TECHNOLOGY CENTER 3700
AUG 14 2001

RECEIVED

AMENDMENT AND RESPONSE

Commissioner for Patents
Washington, D.C. 20231

Sir:

This is responsive to the Office Action mailed May 9, 2001 (Paper No. 10) in the above application. Reconsideration of the application is respectfully requested in view of the following amendments and remarks.

AMENDMENT

Please amend this application as follows:

IN THE SPECIFICATION

Please amend the specification as follows. An APPENDIX OF MARKED-UP SPECIFICATION is attached hereto.

Page 1, line 20 to Page 2, line 3, please amend this paragraph as follows:

→ The substrate strip 100 is packaged in high temperature circumstances and results in an expansion. When the substrate strip 100 returns to normal temperature, shrinkage in all dimensions occurs. However, each strip has variability which results in different amounts of shrinkage of the substrate strips 100 when returning from high